## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

**BY APPLICANT** 

OIPE CIENTAL OF THE PROPERTY O

Application No: 09/890,829 Filing Date: 08/06/2001

First Named Inventor: Marians

Group Art Unit: Examiner Name:

Attorney Docket No.: MSK.P-041

Page 1 of 2

| Examiner's<br>Initials | US Patent<br>Document | Name of Patentee or applicant of cited document | Date of Publication of<br>Cited Document | Pages, Columns, Lines Where Relevant<br>Passages or Relevant Figures Appear |
|------------------------|-----------------------|---|--|---|
| n                      | 5,583,026             | O'Donneil                                       | 10-12-1996                               |   |
| n                      | 5,668,004             | O'Donneil                                       | 18-09-1997                               |   |
| 4                      |                       |   | /  | RECEIVED  |
|                        |                       |   |  | FEB 0 3 2003  |
|                        |                       |   |  | TECH CENTER 1800/290  |
|                        |                       |   |  |   |

# FOREIGN PATENT DOCUMENTS

| Examiner's<br>Initials | Cite<br>No. | office | Number | Kind Code | Name of Patentee or Applicant of<br>Cited Document | Date of<br>Publication of<br>Cited<br>Document | Pages<br>where<br>relevant<br>passages<br>appear | T* |
|------------------------|-------------|--------|--------|-----------|--|--|--|----|
|                        |             |        |        | /         |  |  |  |    |
|                        |             |        |        |           |  |  |  |    |
|                        |             |        |        |           | X  |  |  |    |
|                        |             |        |        |           |  |  |  |    |
|                        |             |        |        |           |  |  |  |    |
|                        |             |        |        |           |  |  |  |    |



## COPY OF PAPEHS ORIGINALLY FILED

| Examiner's<br>Initials | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS   |  |  |  |
|------------------------|---|--|--|--|
| W                      | JANNIERE, et al., Replication terminus for DNA polymerase I during initiation of pAMbeta1 replication: role of theplasmid-encoded resolution system. Molecular microbiology. 1997, Vol. 23, No. 3, 525-535, especially pages 525-527 amd 533.       |  |  |  |
|                        | MCGLYNN et al. The DNA replication protein PriA and the recombination protein RecG bind D-loops. J. Mol. Biol. q1997, Vol. 270, pp 21'2-221, especially pages 212-214 and 217-228.  |  |  |  |
|                        | KARET et al. Quantification of mRNA in human tissue using fluorescent nested reverse-transcriptase polymerase chain reaction. Anal. Biochem. 1994, Vol. 220, pp 384-390, especially pages 385 and 386.  |  |  |  |
|                        | MASAI, et al. Escherichia coli PriA protein is essential for inducible and constitutive stable DNA replication, EMBO J. 1994, Vol. 13, No. 22, Page 5338-5345, especially pages 5338, 5339, 5344 and 5345.  |  |  |  |
|                        | AL-DEIB et al. Modulation of recombination and DNA repair by the recG and PriA helicases of Escherchia cili K-12. J. Bacteriol. December 1996, Vol. 178, No. 23, pp 6782-6789, see entire document.   |  |  |  |
|                        | MARIANS, At the Crossroads between DNA Replication and Recombination", Ray Wu<br>Symposium, 8/15/1998.  |  |  |  |
|                        | MARIANS, et al., Priz and the Intersection between DNA Replication and Recombination.   |  |  |  |
|                        | SEUFERT, et al., Initiation of <i>Escherichia coli</i> minichromosome replication at <i>ori</i> C and at protein n' recognition sites. Two modes for initiating DNA synthesis <i>in vitro</i> . The EMBO Journal vol. 5, no 12, pp 3401-3406, 1986. |  |  |  |
|                        | JONES, et al., The \$\phi174-type primosome promotes replisome assembly at the site of recombination in bacteriophage Mu transposition, The EMBO Journal Vol. 16 No. 22, pp 6886-6895, 1997.  |  |  |  |
|                        | ASAI, et al, D-Loops and R-Loops: Alaternative Mechanisms for the initiation of Chromosome<br>Replication in <i>Escherichia coli</i> , Journal of Bacteriology, Apr. 1994, p. 1807-1812, Vol. 176, No. 7.   |  |  |  |
| V                      | DEVLIN, Textbook of Biochemistry with Clinical Correlations, 3rd Ed., 1992.   |  |  |  |

This Information Disclosure Citation List is being submitted as a substitute for Form PTO-1449. The Examiner is requested to place his or her initials on the lines adjacent to the citations to indicate that the reference has been considered. The Examiner is further requested to fill in his or her name and the date the information was considered in blocks at the bottom of this substitute for Form PTO-1449.

Eraminas //sol

Base Considered 1/2

RECEIVED

FEB 0 3 2003

TECH CENTER 1600/2900

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US00/04445

| A. CLASSIFICATION OF SUBJECT MATTER                        |  |  |   |  |  |  |
|--|--|--|---|--|--|--|
|  | :C12Q 1/68; C12P 19/34; C12N 9/00  |  |   |  |  |  |
|  | US CL. :435/6, 91.1, 91.32, 183  According to International Patent Classification (IPC) or to both national classification and IPC |  |   |  |  |  |
| ·····  | DS SEARCHED  | and the control of th |   |  |  |  |
|  |  | hu dessignation gumbols)   |   |  |  |  |
|  | ocumentation searched (classification system followed  | -  | ľ   |  |  |  |
| U.S. :   | 435/6, 91.1, 91.32, 183, 7.32; 436/94; 536/23.1, 23.7,   | 23.72, 24.3, 24.33, 25.3   |   |  |  |  |
| Documentat   | Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched      |  |   |  |  |  |
| Electronic d   | lata base consulted during the international search (na  | me of data base and, where prac  | cticable, search terms used)  |  |  |  |
| STN and  | •  |  |   |  |  |  |
|  | NA replication, pri A, replisome, helicase, primosome  | , primosomal proteins  |   |  |  |  |
|  |  |  |   |  |  |  |
| C. DOC   | UMENTS CONSIDERED TO BE RELEVANT   |  |   |  |  |  |
| Category*  | Citation of document, with indication, where app   | propriate, of the relevant passage   | es Relevant to claim No.  |  |  |  |
| X  |  | inus for DNA polymera  |   |  |  |  |
|  | during initiation of pAMbetal replic   |  |   |  |  |  |
|  | encoded resolution system. Molecular l   | ——————————————————————————————————————   |   |  |  |  |
|  | No.3, 525-535, page 525-535, especial  | lly pages 525-527 and 53   | 33.   |  |  |  |
| Y  | MCGLYNN et al. The DNA replication protein PriA and the 1-4, recombination protein RecG bind D-loops. J. Mol. Biol. 1997, Vol. 14  |  |   |  |  |  |
|  | 270, Pages 212-221, especially pages 2   |  |   |  |  |  |
|  | aro, rugos ara zar, especiany pugos  |  |   |  |  |  |
|  |  |  |   |  |  |  |
|  |  |  |   |  |  |  |
| :  |  |  |   |  |  |  |
|  |  |  |   |  |  |  |
|  |  |  |   |  |  |  |
|  |  |  |   |  |  |  |
|  |  |  |   |  |  |  |
|  |  |  |   |  |  |  |
|  |  |  |   |  |  |  |
| X Furth  | ner documents are listed in the continuation of Box C  | See patent family as   | nnex.   |  |  |  |
|  | ocial categories of cited documents:   |  | ter the international filing date or priority                                 |  |  |  |
| "A" do   | recument defining the general state of the art which is not considered<br>be of particular relevance                               |  | h the application but cited to understand                                     |  |  |  |
|  | rlier document published on or after the international filing date   |  | vance; the claimed invention cannot be  |  |  |  |
| L do   | cument which may throw doubts on priority claim(s) or which is   | when the document is taken   | pe considered to involve an inventive step<br>a alone                         |  |  |  |
| cit  | ted to establish the publication date of another citation or other establish the specified)  | "Y" document of particular rele  | vance; the claimed invention cannot be  |  |  |  |
|  | ocument referring to an oral disclosure, use, exhibition or other  | combined with one or more  | inventive step when the document is<br>other such documents, such combination |  |  |  |
| *P* do   | eans<br>soument published prior to the international filing date but later than  | being obvious to a person skilled in the art  "&" document member of the same patent family  |   |  |  |  |
|  | e priority date claimed  actual completion of the international search   | Date of mailing of the internati   | onal search report  |  |  |  |
| 06 APRIL 2000 <b>2 6</b> APR 2000                          |  |  |   |  |  |  |
| Name and mailing address of the ISA/US  Authorized officer |  |  |   |  |  |  |
| Commissio  | oner of Patents and Trademarks   | Authorized office  | - For   |  |  |  |
| Box PCT<br>Washingto                                       | n, D.C. 20231  | FRANK LU   | 7   |  |  |  |
| Facsimile No. (703) 305-3230                               |  | Telephone No. (703) 308-1  | 235   |  |  |  |

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US00/04445

| C (Continua | tion). DOCUMENTS CONSIDERED TO BE RELEVANT  |              |                                 |
|-------------|---|--------------|---------------------------------|
| Category*   | Citation of document, with indication, where appropriate, of the relev  | ant passages | Relevant to claim No.           |
| Y           | KARET et al. Quantification of mRNA in human tissue using fluorescent nested reverse-transcriptase polymerase chain reaction.  Anal. Biochem. 1994, Vol. 220, page 384-390, especially pages 385 and 386. |              |                                 |
| Y           | MASAI et al., Escherichia coli PriA protein is essentia inducible and constitutive stable DNA replication. EMI Vol.13, No. 22, Page 5338-5345, especially pages 5338-5344 and 5345.                       | 3O J. 1994,  | 1-4, 6, 7, 10 and 14            |
| Y           | AL-DEIB et al. Modulation of recombination and DN. the recG and PriA helicases of Escherchia cili K-12. J. December 1996, Vol. 178, No. 23, page 6782-6789, sedocument.                                   | Bacteriol.   | 1-4, 6, 7, 10, 11,<br>14 and 15 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              |                                 |
|             |   |              | <u> </u>                        |

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

| International application No. |
|-------------------------------|
| PCT/US00/04445                |

|    | citations and expirations supporting such statement |        |      |     |  |  |
|----|---|--------|------|-----|--|--|
| 1. | statement   |        |      |     |  |  |
|    | Novelty (N)   | Claims | 5-15 | YES |  |  |
|    | •   | Claims | 1-4  | NO  |  |  |
|    | Inventive Step (IS)                                 | Claims | 6-15 | YES |  |  |
|    |   | Claims | 1-5  | NO  |  |  |

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability;

Industrial Applicability (IA)

Claims 1-15

Claims NONE

NO

### 2. citations and explanations (Rule 70.7)

Claims 1-4 lack novelty under PCT Article 33(2) as being anticipated by Janniere et al., (Mol. Microbiology 23, 525-535, 1997).

Janniere et al., teach replication terminus for DNA polymerase I during initiation of pAMbeta1 replication. Replication of plasmid pAM beta1 is initiated by DNA polymerase I (Pol I) and completed by DNA polymerase III holoenzyme contained in the replisome machinery. In this study they reported that initiation of DNA replication generates D-loop structures containing the nascent leading strand paired to its template (page 525, abstract) in a double stranded form and the displaced strand is in the single-stranded form (page 526, right column, third paragraph). The oligonucleotides used to characterize the segments extruded from D-loop replication intermediates have a length of from 20 to 50 bases (page 533, left column, second paragraph). The reaction involving Pol III HE was performed in the presence of ATP and four deoxynucleotides (page 533, right column). This prior art meets the limitations of the claims 1-4.

#### Response to Arguments

In page 2, third and fourth paragraphs of applicant's Response to Written Opinion, applicant argued that: (1)" Janniere does not disclose a replication system using proteins which are added by man to a developing D-loop. Indeed, Janniere disclose no use for the purified proteins. Furthermore, no real world application of the observation of the replication intermediates is suggested", and (2) "Janniere does not disclose the use of oligonucleotide primer or any other means to introduce a D-loop at a selected location. Indeed, in the Janniere paper, the D loop is generated as a inherent result of the addition of the polymerase, and not as a separate step prior to the assembly of the replisome. There is no targeting of the D loop to a specific initiation site adjacent to a selected target region".

The arguments have been fully considered but they are not persuasive toward the withdrawal of the rejection. First, Janniere et al., (see page 526, right column, third paragraph) (Continued on Supplemental Sheet.)

Supplemental Box

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

#### 1. BASIS OF REPORT:

This report has been drawn on the basis of the description, page(s) 1-15, as originally filed.
page(s) NONE, filed with the demand, and additional amendments:
NONE

This report has been drawn on the basis of the claims, page(s) 17, as originally filed.
page(s) NONE, as amended under Article 19.
page(s) NONE, filed with the demand.
and additional amendments:
Claim Page 16, filed with the letter of 13 December 2000.

This report has been drawn on the basis of the drawings, page(s) 1, as originally filed. page(s) NONE, filed with the demand. and additional amendments:

NONE

This report has been drawn on the basis of the sequence listing part of the description: page(s) 1 and 2, as originally filed.
pages(s) NONE, filed with the demand.
and additional amendments:
NONE

### V. 2. REASONED STATEMENTS - CITATIONS AND EXPLANATIONS (Continued):

showed that D loop structure was generated by DNA polymerase I in the initiation of pAMbeta1 replication. it is well known that the replisome is completed by polymerase III and is required for DNA replication(Devlin, Textbook of Biochemistry with clinical correlations, third Edition, see page 671, first paragraph). Therefore, the replisome formation in the presence of assembly proteins is a inherent property of the reference of Janniere et al., and will be considered as a separate step after D loop formation. Second, Janniere et al., clearly showed the use of oligomacleotide primer to introduce a D-loop (see page 533, left column, last paragraph). Third, in response to applicant's argument that the reference failed to show certain features of applicant's invention such as "no real world application of the observation of the replication intermediates is suggested" by Janniere et al., and "there is no targeting of the D loop to a specific initiation site adjacent to a selected target region" is suggested by Janniere et al., it is noted that the features upon which applicant relies above are not recited in the claims. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims.

Claims 1-5 lack an inventive step under PCT Article 33(3) as being obvious over Janniere et al., (Mol. Microbiology 23, 525-535, 1997)in view of Karet et al., (Anal. Biochem. 220, 384-390, 1994).

The teachings of Janniere et al., have been summarized previously, supra. This prior art meets the limitations of claims 1-4.

Janniere et al., do not disclose fluorescence labeled primer.

Karet et al., teach fluorescence labeled primer (page 384, abstract).

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have used performed the method for replication of a target region of a target DNA molecule as suggested by Janniere et al., using a fluorescence tabeled primer. The prior art provided by Karet et al., would have motivated one having ordinary skill in the art to perform the method for replication of a target region of a target DNA molecule using a fluorescence-labeled primer. One having ordinary skill in the art at the time the invention was made would have been a reasonable expectation of success to combine these prior arts together because all of prior art are known and are easy to use.

Claims 6-15 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest the limitations of claims 6-15.